

# *H*-enrichments of topologies

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## *Abstract*

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An *H*-enrichment of a topology  $\mathcal{T}$  on a set  $X$  is a topology  $\mathcal{S}$  on  $X$  such that  $\mathcal{S} \supseteq \mathcal{T}$  and every homeomorphism from  $X$  to itself with respect to  $\mathcal{T}$  is also a homeomorphism with respect to  $\mathcal{S}$ . An *H*-enrichment is a *C*-enrichment if "homeomorphism" can be replaced by "continuous function" above. Generally in "nice" spaces, there is a scarcity of *C*-enrichments and an abundance of *H*-enrichments. We capitalize on the scarcity of *C*-enrichments to prove classification theorems for minimally free rings of continuous real-valued functions; with *H*-enrichments in general, we focus on separation and connectedness axioms.

*Keywords:* *H*-enrichments, *C*-enrichments, minimally free rings of continuous functions.

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## Introduction

The notion "*H*-enrichment of a topology" came about during the investigation of minimally free rings of continuous real-valued functions (see [3, 4]), and was found to be of independent interest. Thus, although this notion has its origins firmly in algebra (see also [1, 2, 6]), our approach in this paper is altogether topological.

Given two topologies  $\mathcal{S}$  and  $\mathcal{T}$  on a set  $X$ , say  $\mathcal{S}$  is an *enrichment* of  $\mathcal{T}$  if  $\mathcal{T} \subseteq \mathcal{S}$ . Whenever  $f: X \rightarrow X$  is continuous as a function from  $\langle X, \mathcal{S} \rangle$  to  $\langle X, \mathcal{T} \rangle$ , we say  $f$  is  $\langle \mathcal{S}, \mathcal{T} \rangle$ -*continuous*. (Other related notions, e.g., " $\langle \mathcal{S}, \mathcal{T} \rangle$ -homeomorphism", " $\mathcal{T}$ -open set", are defined as one might expect.)

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Let  $\mathcal{S}$  be an enrichment of  $\mathcal{T}$  on the set  $X$ , with  $F$  a family of functions from  $X$  to itself. We say  $\mathcal{S}$  is an  $F$ -enrichment of  $\mathcal{T}$  if every member of  $F$  is  $\langle \mathcal{S}, \mathcal{T} \rangle$ -continuous. Three successively stronger instances of this notion are as follows: (i)  $H$ -enrichment, in which  $F$  is the family of  $\langle \mathcal{T}, \mathcal{T} \rangle$ -homeomorphisms; (ii)  $C$ -enrichment, in which  $F$  is the family of  $\langle \mathcal{T}, \mathcal{T} \rangle$ -continuous functions; and (iii) *coreflective enrichment*, in which  $F$  is the family of  $\langle \mathcal{S}, \mathcal{T} \rangle$ -continuous functions.

Historically, coreflective enrichments were the first  $F$ -enrichments to come to our attention [4], and are what arise when one applies a coreflective functor from the category of spaces and continuous functions to itself. We found in [4] that the “ $\kappa$ -free” unital (i.e., with a 1) rings of continuous real-valued functions on a topological space, i.e., those rings  $C(\mathcal{X})$  possessing a subset  $P$  (a “pseudobasis”) of cardinality  $\kappa$  such that every function from  $P$  into  $C(\mathcal{X})$  extends uniquely to a (1-preserving) ring endomorphism on  $C(\mathcal{X})$ , are precisely those rings of the form  $C(\langle \mathbb{R}^\kappa, \mathcal{T} \rangle)$ , where  $\mathbb{R}$  is the set of real numbers, and  $\mathcal{T}$  is a realcompact coreflective enrichment of the  $\kappa$ -fold Tichonov power  $\mathcal{U}^\kappa$  of the usual topology  $\mathcal{U}$  on  $\mathbb{R}$ . (N.b. here we depart slightly from the terminology of [3, 4] by insisting that realcompact spaces automatically be completely regular (=Tichonov). Also, our separation axioms always assume the  $T_1$  axiom without further comment.) A ring (or any algebraic system) that is  $\kappa$ -free for some cardinal  $\kappa$  is termed “minimally free”. In [3] a complete classification of the  $\kappa$ -free unital rings  $C(\mathcal{X})$  is given for countable  $\kappa$ ; namely they are the rings  $C(\langle \mathbb{R}^\kappa, \mathcal{U}^\kappa \rangle)$  and  $C(\langle \mathbb{R}^\kappa, \mathcal{D} \rangle)$  (where  $\mathcal{D}$  refers to the discrete topology on the appropriate underlying set). We are interested, *inter alia*, in the classification problem for uncountable  $\kappa$  in this paper. We present some partial results, but the issue is far from resolved.

**0.1. Examples.** (i) Let  $G$  be a coreflective functor on the category of topological spaces and continuous functions (so the image of  $G$  is a subcategory, and  $G$  is right-adjoint to the inclusion functor). Then for any space  $\mathcal{X} = \langle X, \mathcal{T} \rangle$ , we may view  $G(\mathcal{X})$  as a space  $\langle X, \mathcal{S} \rangle$  where  $\mathcal{S}$  is a coreflective enrichment of  $\mathcal{T}$ . This phenomenon is very special; its best-known manifestations are: *discretization*, in which  $\mathcal{S} = \mathcal{D}$ ;  $\kappa$ -*modification* ( $\kappa$  an infinite cardinal), in which  $\mathcal{S} = (\mathcal{T})_\kappa$ , the smallest topology that includes all intersections of fewer than  $\kappa$   $\mathcal{T}$ -open sets;  $k$ -*space modification*, in which  $\mathcal{S} = k(\mathcal{T}) = \{A \subseteq X : A \cap K \text{ is open in } K \text{ for each } \mathcal{T}\text{-compact } K \subseteq X\}$ ; and *sequential modification*, in which  $\mathcal{S} = \sigma(\mathcal{T}) = \{A \subseteq X : \text{whenever } (x_n) \text{ is a sequence in } X \text{ that converges to a point in } A, \text{ then } (x_n) \text{ is eventually in } A\}$ .

(ii) Let  $\langle X, \mathcal{T} \rangle$  be a topological space,  $\mathcal{F}$  a collection of subsets of  $X$ . Define  $\mathcal{T}_{\mathcal{F}}$  to be the topology on  $X$  with subbasis  $\mathcal{T} \cup \{h(S) : S \in \mathcal{F} \text{ and } h \text{ is a } \langle \mathcal{T}, \mathcal{T} \rangle\text{-homeomorphism}\}$ . It is trivial to show that  $\mathcal{T}_{\mathcal{F}}$  is always an  $H$ -enrichment of  $\mathcal{T}$ , and every  $H$ -enrichment of  $\mathcal{T}$  may be obtained in this fashion. (A similar mechanism may be used to obtain the  $C$ -enrichments of  $\mathcal{T}$ : just adjoin the inverse images of members of  $\mathcal{F}$  under  $\langle \mathcal{T}, \mathcal{T} \rangle$ -continuous functions. However, coreflective enrichments require a more complicated process involving transfinite induction.) Perhaps the most familiar example of this construction is the following:  $X = \mathbb{R}$ ,  $\mathcal{T} = \mathcal{U}$ , and

$\mathcal{F} = \{A \subseteq \mathbb{R} : \mathbb{R} \setminus A \text{ is countable}\}$ . Typical basic open sets in  $\mathcal{U}_{\mathcal{F}}$  are of the form (open interval)  $\setminus$  (countable set).  $(\langle \mathbb{R}, \mathcal{U}_{\mathcal{F}} \rangle)$  is hereditarily Lindelöf and nonseparable, but fails to be an  $L$ -space since it is not regular.)

The sequel is divided into two sections. Section 1 is about  $C$ -enrichments and gives conditions under which topologies have no proper nondiscrete  $C$ -enrichments. These topological results have direct applications to the classification problem for minimally free rings of continuous real-valued functions. Section 2 deals with  $H$ -enrichments in general. Usually a topology has many  $H$ -enrichments; we focus on conditions that permit or prohibit the existence of  $H$ -enrichments that satisfy certain separation and connectedness axioms.

### 1. $C$ -enrichments

The main result of [3] is the classification theorem: Let  $1 \leq \kappa \leq \omega$  (where  $\omega$  always stands for the first infinite cardinal). A unital ring  $C(\mathcal{X})$  is  $\kappa$ -free if and only if  $C(\mathcal{X})$  is of the form  $C(\langle \mathbb{R}^{\kappa}, \mathcal{U}^{\kappa} \rangle)$  or  $C(\langle \mathbb{R}, \mathcal{D} \rangle)$ . The topological lemma that powers this theorem is the following [3, Theorem 1.1]: *Let  $\mathcal{X}$  be a normed linear space over the real field. Then any proper  $C$ -enrichment of the norm topology is discrete.*

This lemma has gone through several stages of generalization (with the same basic idea of proof). Originally proved by the second author for the case  $\mathcal{X} = \langle \mathbb{R}, \mathcal{U} \rangle$ , we soon realized that the argument goes through for the Euclidean topologies  $\mathcal{U}^n$ ,  $1 \leq n < \omega$ ; thence to the form that appears in [3]. The next stage of generalization was the locally convex metrizable topological vector space topologies; finally, in a private communication [8], Sanderson saw that the original argument could be adapted to work for all normal locally path-connected first countable topologies. This section is devoted to that generalization, as well as to related results.

Let  $\langle X, \mathcal{T} \rangle$  be a topological space, with  $F$  a family of functions from  $X$  to itself. Define  $\langle X, \mathcal{T} \rangle$  to be  $F$ -filled provided that for any nonisolated point  $x \in X$  and each nonclosed  $S \subseteq X$  there exists a finite subset  $\{f_1, \dots, f_n\} \subseteq F$  such that  $f_1^{-1}(S) \cup \dots \cup f_n^{-1}(S) = N \setminus \{x\}$ , where  $N$  is a neighborhood of  $x$  (i.e.,  $x \in U \subseteq N$  for some  $U \in \mathcal{T}$ ). The family  $F$  is called *monoidal* if: (i) every member of  $F$  is  $\langle \mathcal{T}, \mathcal{T} \rangle$ -continuous; (ii)  $F$  contains the identity function  $\text{id}_X$  on  $X$ ; and (iii)  $F$  is closed under function composition. ( $F$ -enrichments for  $F$  monoidal include both  $C$ -enrichments and  $H$ -enrichments.)

Our first result is a characterization of when the topology  $\mathcal{T}$  on  $X$  has a proper nondiscrete  $F$ -enrichment when  $F$  is monoidal.

**1.1. Theorem.** *Let  $\mathcal{X} = \langle X, \mathcal{T} \rangle$  be a topological space,  $F$  a monoidal family. Then  $\mathcal{T}$  has a proper nondiscrete  $F$ -enrichment if and only if  $\mathcal{X}$  is not  $F$ -filled.*

**Proof.** First suppose  $\mathcal{X}$  is  $F$ -filled, and suppose  $\mathcal{S}$  is a proper  $F$ -enrichment of  $\mathcal{T}$ . Then there exists a subset  $S \subseteq X$  that is  $\mathcal{S}$ -closed but not  $\mathcal{T}$ -closed. Let  $x$  be any

nonisolated point of  $\mathcal{X}$ . Then there is a finite  $\{f_1, \dots, f_n\} \subseteq F$  such that  $f_1^{-1}(S) \cup \dots \cup f_n^{-1}(S) = N \setminus \{x\}$  for some  $\mathcal{T}$ -neighborhood of  $x$ . By definition, each  $f_i$  is  $\langle \mathcal{S}, \mathcal{S} \rangle$ -continuous, so  $N \setminus \{x\}$  is  $\mathcal{S}$ -closed. Thus there is an  $\mathcal{S}$ -neighborhood  $M$  of  $x$  such that  $M \cap N = \{x\}$ ; whence  $x$  is an  $\mathcal{S}$ -isolated point. Since  $x$  was arbitrarily chosen, we infer that  $\mathcal{S}$  is discrete.

For the converse, suppose  $\mathcal{X}$  is not  $F$ -filled. Then there is a nonisolated  $x \in X$  and a nonclosed  $S \subseteq X$  such that for every finite subset  $\{f_1, \dots, f_n\}$  of  $F$ ,  $f_1^{-1}(S) \cup \dots \cup f_n^{-1}(S)$  cannot be of the form  $N \setminus \{x\}$  for some neighborhood  $N$  of  $x$ . Let  $\mathcal{B}$  be the union of  $\mathcal{T}$  with the family of all sets of the form  $U \cap f_1^{-1}(X \setminus S) \cap \dots \cap f_n^{-1}(X \setminus S)$ , where  $U \in \mathcal{T}$  and  $f_1, \dots, f_n \in F$ .  $\mathcal{B}$ , being closed under finite intersections, is a basis for some topology  $\mathcal{S} \supseteq \mathcal{T}$ . To show  $\mathcal{S}$  is an  $F$ -enrichment of  $\mathcal{T}$ , let  $f \in F$  and  $B = U \cap f_1^{-1}(X \setminus S) \cap \dots \cap f_n^{-1}(X \setminus S) \in \mathcal{B}$ . Then

$$f^{-1}(B) = f^{-1}(U) \cap (f_1 \circ f)^{-1}(X \setminus S) \cap \dots \cap (f_n \circ f)^{-1}(X \setminus S) \in \mathcal{B}.$$

Thus  $f$  is  $\langle \mathcal{S}, \mathcal{S} \rangle$ -continuous. Since  $\text{id}_x \in F$ , we have  $X \setminus S \in \mathcal{S}$ . But  $S$  is not  $\mathcal{T}$ -closed, so  $\mathcal{S}$  is a proper  $F$ -enrichment of  $\mathcal{T}$ . It remains to show  $\mathcal{S}$  is nondiscrete; we show  $x$  is not  $\mathcal{S}$ -isolated. Suppose to the contrary that  $\{x\} \in \mathcal{S}$ . Then we can write  $\{x\} = U \cap f_1^{-1}(X \setminus S) \cap \dots \cap f_n^{-1}(X \setminus S)$  for some  $U \in \mathcal{T}$  and  $f_1, \dots, f_n \in F$ . Then  $X \setminus \{x\} = (X \setminus U) \cup f_1^{-1}(S) \cup \dots \cup f_n^{-1}(S)$ , so that  $U \setminus \{x\} = U \cap (f_1^{-1}(S) \cup \dots \cup f_n^{-1}(S))$ . Let  $N = \{x\} \cup f_1^{-1}(S) \cup \dots \cup f_n^{-1}(S)$ . Then  $x \in U \subseteq N$ , so  $N$  is a neighborhood of  $x$ ; moreover  $N \setminus \{x\} = f_1^{-1}(S) \cup \dots \cup f_n^{-1}(S)$ , a contradiction. Thus  $\mathcal{S}$  is a proper nondiscrete  $F$ -enrichment of  $\mathcal{T}$ .  $\square$

**1.2. Theorem.** *Every normal, locally path-connected, first countable space is  $C$ -filled.*

**Proof.** Suppose  $\langle X, \mathcal{T} \rangle$  satisfies the hypothesis, and let  $x \in X$  be nonisolated,  $S \subseteq X$  be nonclosed. Let  $x_0$  be an accumulation point of  $S$  that is not in  $S$ . Since the space is locally path-connected and first countable, one can easily construct by induction a basis  $\{V_n : 1 \leq n < \omega\}$  at  $x_0$  consisting of path-connected open sets, such that  $V_{n+1} \subseteq V_n$  for each  $n$ . Let  $\{U_n : 1 \leq n < \omega\}$  be a basis at  $x$  consisting of open sets, such that  $\overline{U_{n+1}} \subseteq U_n$  for each  $n$  (where overbar indicates topological closure). This we can do because the space is normal. We may assume  $U_1 = X$ ; for each  $n$  define the "annulus"  $A_n = \overline{U_n} \setminus U_{n+1}$ . Also for each  $n$ , let  $x_n \in V_n \cap S$ , and let  $p_n : [0, 1] \rightarrow V_n$  be a (continuous) path starting at  $x_n$  and ending at  $x_{n+1}$ .

Since  $\langle X, \mathcal{T} \rangle$  is normal, there is for each  $n$ , a continuous  $g_n : \overline{U_{2n-1}} \setminus U_{2n+2} \rightarrow [0, 1]$  and  $h_n : \overline{U_{2n}} \setminus U_{2n+3} \rightarrow [0, 1]$  such that  $g_n(A_{2n-1}) = \{0\}$ ,  $g_n(A_{2n+1}) = \{1\}$ ,  $h_n(A_{2n}) = \{0\}$ , and  $h_n(A_{2n+2}) = \{1\}$ . Then define continuous  $f_1, f_2$  on  $\langle X, \mathcal{T} \rangle$  by:

$$f_1(y) = \begin{cases} p_n(g_n(y)) & \text{if } y \in \overline{U_{2n-1}} \setminus U_{2n+2} \text{ for some } n, \\ x_0 & \text{if } y = x, \end{cases}$$

$$f_2(y) = \begin{cases} p_n(h_n(y)) & \text{if } y \in \overline{U_{2n}} \setminus U_{2n+3} \text{ for some } n, \\ x_0 & \text{if } y = x. \end{cases}$$

Note that for each  $n$ ,  $f_1(A_{2n-1}) = \{x_n\}$  and  $f_2(A_{2n}) = \{x_n\}$ . Thus  $\bigcup \{A_{2n-1} : 1 \leq n < \omega\} \cup \bigcup \{A_{2n} : 1 \leq n < \omega\} \subseteq f_1^{-1}(S) \cup f_2^{-1}(S)$ ; whence  $f_1^{-1}(S) \cup f_2^{-1}(S) = X \setminus \{x\}$ , so  $\langle X, \mathcal{T} \rangle$  is  $C$ -filled.  $\square$

As mentioned earlier Theorems 1.1 and 1.2 are considerably more than adequate to establish the classification theorem for  $\kappa$ -free unital rings of continuous real-valued functions where  $1 \leq \kappa \leq \omega$  (the  $\kappa = 0$  case being trivial). The possibility of a complete classification when  $\kappa \geq \omega_1$  (where  $\omega_1$  always stands for the first uncountable cardinal) seems remote; however we are able to give a continuum hypothesis (CH:  $\omega_1 = 2^\omega =$  the power  $|\mathbb{R}|$  of the continuum) classification for the  $\omega_1$ -free rings  $C(\mathcal{X})$  that are not connected.

Recall that a unital ring is *connected* just in case its only idempotents are 0 and 1. Thus  $C(\mathcal{X})$  is a connected ring if and only if  $\mathcal{X}$  is a connected space. In order to prove our CH-dependent classification results, we need two preliminary theorems. The first is similar in form to Theorem 1.2.

**1.3. Theorem.** *If  $\langle X, \mathcal{T} \rangle$  is either zero-dimensional and first countable or regular and of character  $\kappa \geq \omega_1$  such that  $(\mathcal{T})_\kappa = \mathcal{T}$ , then  $\mathcal{X}$  is  $C$ -filled.*

**Proof.** Assume first that  $\langle X, \mathcal{T} \rangle$  is zero-dimensional and first countable, and let  $x \in X$  be a nonisolated point,  $S \subseteq X$  nonclosed. Let  $\{U_n : 1 \leq n < \omega\}$  be a basis at  $x$  consisting of clopen sets such that  $U_{n+1} \subseteq U_n$  for each  $n$ . We may assume  $U_1 = X$ . For each  $n$ , let  $A_n = U_n \setminus U_{n+1}$ , with  $(x_n)$  a sequence in  $S$  converging to some  $x_0 \notin S$ . Define  $f : X \rightarrow X$  by

$$f(y) = \begin{cases} x_n & \text{if } y \in A_n \text{ for some } n, \\ x_0 & \text{if } y = x. \end{cases}$$

Then  $f$  is continuous and  $f^{-1}(S) = X \setminus \{x\}$ . Thus  $\langle X, \mathcal{T} \rangle$  is  $C$ -filled.

Now assume  $\langle X, \mathcal{T} \rangle$  is regular and of character  $\kappa \geq \omega_1$ , such that  $(\mathcal{T})_\kappa = \mathcal{T}$ . Then it is an easy exercise to show that  $\langle X, \mathcal{T} \rangle$  is zero-dimensional. One can now mimic the proof in the first paragraph. We have a basis  $\{U_\xi : 1 \leq \xi < \kappa\}$  at  $x$  consisting of clopen sets such that  $U_{\xi+1} \subseteq U_\xi$  for each  $\xi$ , and a  $\kappa$ -indexed sequence  $(x_\xi)$  converging to some  $x_0 \notin S$ .  $\square$

The second theorem is an improvement upon Theorem 2.6 in [3].

**1.4. Theorem.** *Let  $\mathcal{T}$  be a  $C$ -enrichment of  $\mathcal{U}^\kappa$ . Then the following are equivalent:*

- (a)  $(\mathcal{U}^\kappa)_{\omega_1} \subseteq \mathcal{T}$ ;
- (b)  $\mathcal{T}$  is not a connected topology;
- (c)  $\mathcal{T}$  is not a path-connected topology;
- (d) there exists a  $\mathcal{U}^\kappa$ -convergent sequence in  $\mathbb{R}^\kappa$  that is not  $\mathcal{T}$ -convergent.

**Proof.** The implications (a) $\Rightarrow$ (b) and (b) $\Rightarrow$ (c) are immediate.

For the implication (c) $\Rightarrow$ (d), assume (c). Then there are points  $x, y \in \mathbb{R}^\kappa$  such that the affine line segment  $A$  with endpoints  $x$  and  $y$  is not a path in  $\langle \mathbb{R}^\kappa, \mathcal{F} \rangle$ . Thus there exists a  $V \in \mathcal{F}$  such that  $V \cap A$  is not open in  $A$  with respect to the topology  $\mathcal{U}^\kappa|_A$  inherited from  $\mathcal{U}^\kappa$ . This means that there is a point  $a \in V \cap A$  and a sequence  $(a_n)$  in  $A \setminus V$  such that  $(a_n)$   $\mathcal{U}^\kappa$ -converges to  $a$ . Such a sequence cannot  $\mathcal{F}$ -converge.

We note in passing that the chain of implications (a) $\Rightarrow$ (b) $\Rightarrow$ (c) $\Rightarrow$ (d) uses nothing more than the fact that  $\mathcal{U}^\kappa \subseteq \mathcal{F}$ . None of the implications can be reversed, however, without the assumption of  $C$ -enrichment.

The implication (d) $\Rightarrow$ (a) can be proved in a manner similar to the way we proved Theorem 1.2; except that  $\mathcal{U}^\kappa$  is not a normal topology for uncountable  $\kappa$ , so the proof has to be modified. Assuming (d), let  $(x_n)$ ,  $1 \leq n < \omega$ , be a sequence in  $\mathbb{R}^\kappa$  that  $\mathcal{U}^\kappa$ -converges to  $x_0$  but does not  $\mathcal{F}$ -converge. Let  $S = \{x_n : 1 \leq n < \omega\}$ . Then  $S$  is  $\mathcal{F}$ -closed.

Let  $U_1 = \mathbb{R}^\kappa$ , and for  $n = 2, 3, \dots$  let  $U_n = \prod_{\alpha < \kappa} I_\alpha$ , where  $I_\alpha = (-1/n, 1/n)$  if  $\alpha \leq n$  and  $I_\alpha = \mathbb{R}$  if  $\alpha > n$ . Also for each  $n \geq 1$ , define  $A_n = \overline{U_n} \setminus U_{n+1}$  (where overbar indicates  $\mathcal{U}^\kappa$ -closure). Finally let  $G = \bigcap_{n=1}^\infty U_n$ . Given any  $G_\delta$  set  $B$  and  $x \in B$ , there is a  $G'$  with  $x \in G' \subseteq B$  and a  $\langle \mathcal{U}^\kappa, \mathcal{U}^\kappa \rangle$ -homeomorphism taking  $G$  onto  $G'$ . Consequently, if any  $H$ -enrichment  $\mathcal{S}$  of  $\mathcal{U}^\kappa$  contains  $G$ , then  $(\mathcal{U}^\kappa)_{\omega_1} \subseteq \mathcal{S}$ . It remains, then, to show  $G \in \mathcal{F}$ .

For each  $1 \leq n < \omega$ , define  $g_n : \overline{U_{2n-1}} \setminus U_{2n+2} \rightarrow [0, 1]$  and  $h_n : \overline{U_{2n}} \setminus U_{2n+3} \rightarrow [0, 1]$  as follows. First let  $\pi : \mathbb{R}^\kappa \rightarrow \mathbb{R}^{2n+5}$  be the projection of  $\mathbb{R}^\kappa$  onto the product of the first  $2n+5$  factors of  $\mathbb{R}^\kappa$  (starting with the 0th factor). Now  $\pi(A_{2n-1})$  and  $\pi(A_{2n+1})$  are disjoint closed subsets of  $\mathbb{R}^{2n+5}$ , as are  $\pi(A_{2n})$  and  $\pi(A_{2n+2})$ . So there exist continuous  $g'_n : \pi(\overline{U_{2n-1}} \setminus U_{2n+2}) \rightarrow [0, 1]$  and  $h'_n : \pi(\overline{U_{2n}} \setminus U_{2n+3}) \rightarrow [0, 1]$  such that  $g'_n(\pi(A_{2n-1})) = \{0\}$ ,  $g'_n(\pi(A_{2n+1})) = \{1\}$ ,  $h'_n(\pi(A_{2n})) = \{0\}$ , and  $h'_n(\pi(A_{2n+2})) = \{1\}$ . Then define  $g_n = g'_n \circ \pi|_{(\overline{U_{2n-1}} \setminus U_{2n+2})}$  and  $h_n = h'_n \circ \pi|_{(\overline{U_{2n}} \setminus U_{2n+3})}$ .

For each  $1 \leq n < \omega$ , let  $p_n : [0, 1] \rightarrow \mathbb{R}^\kappa$  be the function defined by  $p_n(t) = tx_{n+1} + (1-t)x_n$ . Now define  $f_1$  and  $f_2$  on  $\mathbb{R}^\kappa$  by:

$$f_1(x) = \begin{cases} p_n(g_n(x)) & \text{if } x \in \overline{U_{2n-1}} \setminus U_{2n+2} \text{ for some } n, \\ x_0 & \text{if } x \in G, \end{cases}$$

$$f_2(x) = \begin{cases} p_n(h_n(x)) & \text{if } x \in \overline{U_{2n}} \setminus U_{2n+3} \text{ for some } n, \\ x_0 & \text{if } x \in G, \\ x_1 & \text{if } x \in \mathbb{R}^\kappa \setminus U_2. \end{cases}$$

The functions  $f_1$  and  $f_2$  are  $\langle \mathcal{U}^\kappa, \mathcal{U}^\kappa \rangle$ -continuous, hence they are  $\langle \mathcal{F}, \mathcal{F} \rangle$ -continuous. Since  $f_1^{-1}(S) \cup f_2^{-1}(S) = \mathbb{R}^\kappa \setminus G$  and  $S$  is  $\mathcal{F}$ -closed, we have  $G \in \mathcal{F}$ . Therefore  $(\mathcal{U}^\kappa)_{\omega_1} \subseteq \mathcal{F}$ .  $\square$

The version of Theorem 1.4 proved in [3] is the equivalence (a) $\Leftrightarrow$ (c). Just that and Theorem 1.3 can be used to get the desired classification. (The full strength of Theorem 1.4 comes into play later on.)

**1.5. Corollary.** (CH) *Every  $\omega_1$ -free nonconnected ring  $C(\mathcal{X})$  is of the form  $C(\langle \mathbb{R}^{\omega_1}, (\mathcal{U}^{\omega_1})_{\omega_1} \rangle)$  or  $C(\langle \mathbb{R}^{\omega_1}, \mathcal{D} \rangle)$ .*

**Proof.** We know from [4] that every  $\omega_1$ -free ring  $C(\mathcal{X})$  is isomorphic to  $C(\langle \mathbb{R}^{\omega_1}, \mathcal{F} \rangle)$ , where  $\mathcal{F}$  is a coreflective enrichment of  $\mathcal{U}^{\omega_1}$  that is realcompact. Since  $C(\mathcal{X})$  is a nonconnected ring,  $\mathcal{F}$  is not a connected topology; hence by Theorem 1.4,  $\mathcal{F} \supseteq (\mathcal{U}^{\omega_1})_{\omega_1}$ . Now the weight of  $(\mathcal{U}^{\omega_1})_{\omega_1}$  can be easily shown to lie between  $\omega_1$  and  $2^\omega$ . By the CH, the weight, hence the character, is exactly  $\omega_1$ . By Theorem 1.3, then,  $\langle \mathbb{R}^{\omega_1}, (\mathcal{U}^{\omega_1})_{\omega_1} \rangle$  is  $C$ -filled; by Theorem 1.1 there can be no proper nondiscrete  $C$ -enrichment. The topologies  $(\mathcal{U}^{\omega_1})_{\omega_1}$  and  $\mathcal{D}$  are well known to be realcompact coreflective enrichments of  $\mathcal{U}^{\omega_1}$  (see [5, 11]), and the proof is complete.  $\square$

For  $\kappa \leq \omega$ , the only connected  $\kappa$ -free ring  $C(\mathcal{X})$  is  $C(\langle \mathbb{R}^\kappa, \mathcal{U}^\kappa \rangle)$ . We conjecture that this is the case for all  $\kappa$ , and the remainder of this section is devoted to developing some evidence for our conjecture.

As pointed out in Examples 0.1(i),  $k(\mathcal{U}^\kappa)$  and  $\sigma(\mathcal{U}^\kappa)$  are both coreflective enrichments of  $\mathcal{U}^\kappa$  (see, e.g., [9, 10] for more detailed discussions of this fact); they are connected, and  $\mathcal{U}^\kappa$ ,  $k(\mathcal{U}^\kappa)$ , and  $\sigma(\mathcal{U}^\kappa)$  are distinct for  $\kappa > \omega$  (as we show presently). We do not know whether they, or any other connected coreflective enrichments of  $\mathcal{U}^\kappa$ , are realcompact, or even regular.

The following theorem collects what we know about  $k(\mathcal{U}^\kappa)$  and  $\sigma(\mathcal{U}^\kappa)$ , and makes strong use of Theorem 1.4.

**1.6. Theorem.** (i)  $k(\mathcal{U}^\kappa)$  and  $\sigma(\mathcal{U}^\kappa)$  are connected coreflective enrichments of  $\mathcal{U}^\kappa$ .  
(ii) Every connected  $C$ -enrichment of  $\mathcal{U}^\kappa$  is contained in  $\sigma(\mathcal{U}^\kappa)$ .  
(iii) If  $\mathcal{F}$  is any  $C$ -enrichment of  $\mathcal{U}^\kappa$ , then either  $\mathcal{F} \subseteq \sigma(\mathcal{U}^\kappa)$  or  $\mathcal{F} \supseteq (\mathcal{U}^\kappa)_{\omega_1}$ .  
(iv) Let  $\kappa > \omega$ . Then the connected spaces  $\langle \mathbb{R}^\kappa, \mathcal{U}^\kappa \rangle$ ,  $\langle \mathbb{R}^\kappa, k(\mathcal{U}^\kappa) \rangle$ , and  $\langle \mathbb{R}^\kappa, \sigma(\mathcal{U}^\kappa) \rangle$  are topologically distinct.

**Proof.** (i) As noted above,  $k(\mathcal{F})$  and  $\sigma(\mathcal{F})$  are well known to be coreflective enrichments of  $\mathcal{F}$  for any topology  $\mathcal{F}$ . If  $\mathcal{F}$  is path-connected, then so is  $k(\mathcal{F})$  since both topologies share the same compact subsets (hence paths).  $\sigma(\mathcal{U}^\kappa)$  is connected by Theorem 1.4: Both  $\sigma(\mathcal{U}^\kappa)$  and  $\mathcal{U}^\kappa$  share the same convergent sequences.

(ii) Suppose  $\mathcal{F}$  is a connected  $C$ -enrichment of  $\mathcal{U}^\kappa$ , with  $V \in \mathcal{F}$  and  $(x_n)$  a sequence in  $\mathbb{R}^\kappa$  that  $\mathcal{U}^\kappa$ -converges to  $x_0 \in V$ . By Theorem 1.4,  $(x_n)$  also  $\mathcal{F}$ -converges to  $x_0$ ; hence  $(x_n)$  is eventually in  $V$ . Thus  $V \in \sigma(\mathcal{U}^\kappa)$ .

(iii) This is immediate from Theorem 1.4 and (ii) above.

(iv) Let  $\kappa > \omega$ . It is well known (see [10, Exercise 43H]) that  $\langle \mathbb{R}^\kappa, \mathcal{U}^\kappa \rangle$  is not a  $k$ -space; hence  $\langle \mathbb{R}^\kappa, \mathcal{U}^\kappa \rangle$  and  $\langle \mathbb{R}^\kappa, k(\mathcal{U}^\kappa) \rangle$  are nonhomeomorphic.  $\langle \mathbb{R}^\kappa, \mathcal{U}^\kappa \rangle$  is not a sequential space either, because otherwise  $\mathcal{U}^\kappa = \sigma(\mathcal{U}^\kappa)$ , hence  $\mathcal{U}^\kappa = k(\mathcal{U}^\kappa)$ . Therefore  $\langle \mathbb{R}^\kappa, \mathcal{U}^\kappa \rangle$  and  $\langle \mathbb{R}^\kappa, \sigma(\mathcal{U}^\kappa) \rangle$  are nonhomeomorphic. To show that  $\langle \mathbb{R}^\kappa, k(\mathcal{U}^\kappa) \rangle$  and  $\langle \mathbb{R}^\kappa, \sigma(\mathcal{U}^\kappa) \rangle$  are nonhomeomorphic, it suffices to show  $k(\mathcal{U}^\kappa)$  is not a sequential topology.

If  $x \in \mathbb{R}^\kappa$  and  $\alpha < \kappa$ , we let  $x_\alpha$  abbreviate  $\pi_\alpha(x)$ , the image of  $x$  under the  $\alpha$ th projection map. Let  $A = \{x \in \mathbb{R}^\kappa : \text{for some } \alpha < \kappa, x_\beta = 0 \text{ for } \beta \leq \alpha \text{ and } x_\beta = 1 \text{ for } \alpha < \beta < \kappa\}$ .

Let  $K = [0, 1]^\kappa$ . Then  $K$  is a  $\mathcal{U}^\kappa$ -compact subset of  $\mathbb{R}^\kappa$  containing  $A$ . Now  $A$  is not  $\mathcal{U}^\kappa$ -closed in  $A$ , since  $0 \notin A$  is an accumulation point. Thus  $A$  is not  $k(\mathcal{U}^\kappa)$ -closed. But when  $\kappa > \omega$ , no sequence in  $A$  can  $\mathcal{U}^\kappa$ -converge without being eventually constant. Thus  $A$  is trivially  $\sigma(\mathcal{U}^\kappa)$ -closed.  $\square$

One way to show that  $C(\langle \mathbb{R}^\kappa, \mathcal{U}^\kappa \rangle)$  is the only connected  $\kappa$ -free ring of continuous functions is to show that no proper connected  $C$ -enrichment of  $\mathcal{U}^\kappa$  is regular. Toward this end, we define an enrichment  $\mathcal{S}$  of a topology  $\mathcal{T}$  on  $X$  to be *thick* if whenever  $U \in \mathcal{S}$  is  $\mathcal{T}$ -dense, then  $U$  is also  $\mathcal{S}$ -dense. Clearly if  $\mathcal{S}$  is a thick enrichment of  $\mathcal{T}$  and  $\mathcal{T}'$  is any topology with  $\mathcal{T} \subseteq \mathcal{T}' \subseteq \mathcal{S}$ , then  $\mathcal{T}'$  is also a thick enrichment of  $\mathcal{T}$ . We show presently that no proper thick  $C$ -enrichment of  $\mathcal{U}^\kappa$  can be regular. This fact, together with the conjecture that  $\sigma(\mathcal{U}^\kappa)$  is a thick enrichment of  $\mathcal{U}^\kappa$ , would gain us the desired result (in light of Theorem 1.6).

**1.7. Examples.** (i) For any  $\kappa > 0$ ,  $(\mathcal{U}^\kappa)_{\omega_1}$  is not a thick enrichment of  $\mathcal{U}^\kappa$ . Thus any enrichment of  $\mathcal{U}^\kappa$  that contains  $(\mathcal{U}^\kappa)_{\omega_1}$  fails to be thick.

(ii) Let  $X$  be an uncountable set, with  $\mathcal{T}$  the cofinite topology on  $X$ . The cocountable topology  $\mathcal{S}$  on  $X$  is just  $(\mathcal{T})_{\omega_1}$ . Every nonempty  $U \in \mathcal{S}$  is  $\mathcal{S}$ -dense, so  $\mathcal{S}$  is a thick coreflective enrichment of the  $T_1$  topology  $\mathcal{T}$ .

**1.8. Remarks.** (i) If  $\mathcal{S}$  contains  $\mathcal{T}$  as a  $\pi$ -basis (i.e., every nonempty member of  $\mathcal{S}$  contains a nonempty member of  $\mathcal{T}$ ), then  $\mathcal{S}$  is clearly a thick enrichment of  $\mathcal{T}$ . The converse holds if  $\mathcal{S}$  happens to be regular, but not in general (see Examples 1.7(ii)).

(ii) The standard ways of constructing sets in  $k(\mathcal{U}^\kappa) \setminus \mathcal{U}^\kappa$  for  $\kappa > \omega$  (see [10, Exercise 43H]) fuel the belief that  $k(\mathcal{U}^\kappa)$  may contain  $\mathcal{U}^\kappa$  as a  $\pi$ -basis. Typically, a  $\kappa(\mathcal{U}^\kappa)$ -open set not already in  $\mathcal{U}^\kappa$  can be found by adjoining a single accumulation point to a  $\mathcal{U}^\kappa$ -open set.

(iii) We do not know whether  $\sigma(\mathcal{U}^\kappa)$  is a thick enrichment of  $\mathcal{U}^\kappa$ .  $\sigma(\mathcal{U}^\kappa)$  does not contain  $\mathcal{U}^\kappa$  as a  $\pi$ -basis, however; for let  $A = \{x \in \mathbb{R}^\kappa : x_\alpha = 0 \text{ for all but countably many indices } \alpha\}$ . Then  $A$  is  $\sigma(\mathcal{U}^\kappa)$ -closed and  $\mathcal{U}^\kappa$ -dense.

In order to prove no proper thick  $C$ -enrichment of  $\mathcal{U}^\kappa$  is regular, we first need a lemma. By way of notation, if  $\langle X, \mathcal{T} \rangle$  is a space and  $A \subseteq X$ , let  $\text{Cl}_{\mathcal{T}}(A)$  (respectively  $\text{Int}_{\mathcal{T}}(A)$ ) denote the  $\mathcal{T}$ -closure (respectively  $\mathcal{T}$ -interior) of  $A$  in  $X$ .

**1.9. Lemma.** *Let  $\mathcal{S}$  be a thick enrichment of  $\mathcal{T}$  on the set  $X$ . Then for each  $V \in \mathcal{S}$ ,  $\text{Int}_{\mathcal{T}}(\text{Cl}_{\mathcal{S}}(V))$  is a  $\mathcal{T}$ -dense subset of  $\text{Cl}_{\mathcal{S}}(V)$ .*

**Proof.** Suppose otherwise. Then there is a nonempty  $V \in \mathcal{S}$  such that  $\text{Int}_{\mathcal{T}}(\text{Cl}_{\mathcal{S}}(V))$  is not  $\mathcal{T}$ -dense in  $\text{Cl}_{\mathcal{S}}(V)$ , so the set  $W = V \setminus \text{Cl}_{\mathcal{T}}(\text{Int}_{\mathcal{T}}(\text{Cl}_{\mathcal{S}}(V)))$  is a nonempty



member of  $\mathcal{S}$ . Let  $C = \text{Cl}_{\mathcal{S}}(V) \cap \text{Cl}_{\mathcal{S}}(X \setminus \text{Cl}_{\mathcal{S}}(\text{Int}_{\mathcal{S}}(\text{Cl}_{\mathcal{S}}(V))))$ . Then it is easy to show that  $\text{Int}_{\mathcal{S}}(C) = \emptyset$ .  $\text{Cl}_{\mathcal{S}}(W) \subseteq C$ , so  $W' = X \setminus \text{Cl}_{\mathcal{S}}(W)$  is a  $\mathcal{S}$ -dense  $\mathcal{S}$ -open set. Since  $\mathcal{S}$  is a thick enrichment of  $\mathcal{T}$ ,  $W'$  is  $\mathcal{S}$ -dense as well. But  $W' \cap W = \emptyset$ , a contradiction.  $\square$

**1.10. Theorem.** *For every cardinal  $\kappa$ ,  $\mathcal{U}^\kappa$  has no proper regular thick  $C$ -enrichment.*

**Proof.** Suppose  $\mathcal{T}$  is a proper regular thick  $C$ -enrichment of  $\mathcal{U}^\kappa$ . We derive a contradiction by showing  $\mathcal{T} \supseteq (\mathcal{U}^\kappa)_{\omega_1}$  and appealing to Examples 1.7(i). By Theorem 1.4, it suffices to find a  $\mathcal{U}^\kappa$ -convergent sequence in  $\mathbb{R}^\kappa$  that does not  $\mathcal{T}$ -converge.

Let  $V \in \mathcal{T} \setminus \mathcal{U}^\kappa$ . Since  $\langle \mathbb{R}^\kappa, \mathcal{U}^\kappa \rangle$  is (point-)homogeneous, we may assume without loss of generality that  $0 \in V \setminus \text{Int}_{\mathcal{U}^\kappa}(V)$ . Since  $\mathcal{T}$  is a regular topology, there is some  $W \in \mathcal{T}$  with  $0 \in W \subseteq \text{Cl}_{\mathcal{T}}(W) \subseteq V$ . Set  $W' = X \setminus \text{Cl}_{\mathcal{T}}(W)$ . By Lemma 1.9, we know that  $U = \text{Int}_{\mathcal{U}^\kappa}(\text{Cl}_{\mathcal{T}}(W'))$  is  $\mathcal{U}^\kappa$ -dense in  $\text{Cl}_{\mathcal{T}}(W')$ . Since  $0 \in \text{Cl}_{\mathcal{U}^\kappa}(X \setminus V)$  and  $X \setminus V \subseteq W'$ , we have  $0 \in \text{Cl}_{\mathcal{U}^\kappa}(W')$ . But then  $0 \in \text{Cl}_{\mathcal{U}^\kappa}(\text{Cl}_{\mathcal{T}}(W'))$  so  $0 \in \text{Cl}_{\mathcal{U}^\kappa}(U)$ .

Using Zorn's lemma, let  $\mathcal{B}$  be a maximal family of pairwise disjoint  $\mathcal{U}^\kappa$ -basic open subsets of  $U$ . Then  $\bigcup \mathcal{B}$  is  $\mathcal{U}^\kappa$ -dense in  $U$ , so  $0 \in \text{Cl}_{\mathcal{U}^\kappa}(\bigcup \mathcal{B})$ . Now  $\mathcal{U}^\kappa$  satisfies the countable chain condition, so  $\mathcal{B}$  must be countable, say  $\mathcal{B} = \{B_n : 1 \leq n < \omega\}$ . Each  $B_n$  is  $\mathcal{U}^\kappa$ -basic open, so we write  $B_n = \bigcap \{\pi_\alpha^{-1}(U_{n,\alpha}) : \alpha \in A_n\}$ , where  $A_n \subseteq \kappa$  is finite and  $U_{n,\alpha}$  is a  $\mathcal{U}$ -open subset of  $\mathbb{R}$ . Set  $A = \bigcup_{n=1}^\infty A_n$ , a countable set, let  $\pi : \mathbb{R}^\kappa \rightarrow \mathbb{R}^A$  be the natural projection map, and define  $\iota : \mathbb{R}^A \rightarrow \mathbb{R}^\kappa$  to be the natural injection that turns an  $A$ -sequence into a  $\kappa$ -sequence by filling in the missing coordinates with zeros. Let  $\mathcal{U}^A = \mathcal{U}^\kappa \upharpoonright \mathbb{R}^A$ , and let  $U^A = \pi(\bigcup \mathcal{B})$ .  $U^A \in \mathcal{U}^A$  since  $\pi$  is a  $\langle \mathcal{U}^\kappa, \mathcal{U}^A \rangle$ -open map;  $0 \in \text{Cl}_{\mathcal{U}^A}(U^A)$  since  $\pi$  is  $\langle \mathcal{U}^\kappa, \mathcal{U}^A \rangle$ -continuous and  $\pi(0) = 0$ . Because  $\mathcal{U}^A$  is a first countable topology, there is a sequence  $(x_n^A)$  in  $U^A$  that  $\mathcal{U}^A$ -converges to 0 in  $\mathbb{R}^A$ . For each  $n$ , let  $x_n = \iota(x_n^A)$ . Then  $(x_n)$  is a sequence in  $U$  that  $\mathcal{U}^\kappa$ -converges to 0 in  $\mathbb{R}^\kappa$ . But  $W$  is a  $\mathcal{T}$ -open neighborhood of 0 that is disjoint from  $U$ . Thus  $(x_n)$  fails to  $\mathcal{T}$ -converge. This establishes the contradiction, and hence the theorem.  $\square$

## 2. H-enrichments

In [3], as well as in the last section, we traded on the paucity of  $C$ -enrichments to obtain classification theorems for minimally free rings of continuous real-valued functions. With  $H$ -enrichments, however, the emphasis is on abundance.

**2.1. Theorem.** *If  $\mathcal{X} = \langle X, \mathcal{T} \rangle$  has a nonclosed set that is nowhere dense, then  $\mathcal{X}$  is not  $H$ -filled. Hence  $\mathcal{T}$  has proper nondiscrete  $H$ -enrichments.*

**Proof.** Let  $S \subseteq X$  be a nonclosed nowhere dense subset. Then  $X$  is nondiscrete, so we pick  $x \in X$  a nonisolated point. Let  $\{h_1, \dots, h_n\}$  be any finite set of  $\langle \mathcal{T}, \mathcal{T} \rangle$ -homeomorphisms. Then  $h_n^{-1}(S) \cup \dots \cup h_1^{-1}(S)$  is nowhere dense in  $\mathcal{X}$ . Let  $N$  be

any neighborhood of  $x$ . Then  $x \in \overline{N \setminus \{x\}}$ , so  $N \subseteq \overline{N \setminus \{x\}}$ . Thus  $N \setminus \{x\}$  fails to be nowhere dense in  $\mathcal{X}$ , and so  $h_1^{-1}(S) \cup \dots \cup h_n^{-1}(S) \neq N \setminus \{x\}$ . Therefore  $\mathcal{X}$  is not  $H$ -filled, and, by Theorem 1.1,  $\mathcal{T}$  has a proper nondiscrete  $H$ -enrichment.  $\square$

**2.2. Corollary.** *If  $\mathcal{X}$  is any first countable Hausdorff space whose derived set (= set of nonisolated points) is nondiscrete, then  $\mathcal{X}$  is not  $H$ -filled.*

**Proof.** Let  $X'$  be the derived set of  $\mathcal{X}$ . By hypothesis, we have in  $X'$  a sequence  $(x_n)$  converging to some  $x \in X'$  with  $x \neq x_n$  for all  $n$ . Let  $S = \{x_n : n < \omega\}$ , a nonclosed subset of  $X$  whose closure, because of the Hausdorff axiom, is  $S \cup \{x\}$ .  $\bar{S}$  thus does not contain any nonempty open subset of  $X$ , so  $S$  is a nonclosed nowhere dense subset of  $X$ . By Theorem 2.1,  $\mathcal{X}$  is not  $H$ -filled.  $\square$

**2.3. Examples.** (i) Let  $X = \{0\} \cup \{1/n : 0 < n < \omega\} \subseteq \mathbb{R}$  inherit the usual topology  $\mathcal{T} = \mathcal{U}|X$ . Then  $\mathcal{T}$  is a nondiscrete metrizable topology that is  $H$ -filled.

(ii) Let  $X$  be countably infinite, with  $\mathcal{T}$  the cofinite topology on  $X$ . Then  $\mathcal{T}$  is a first countable  $T_1$  topology with no isolated points that is  $H$ -filled.

Recall the notation of Examples 0.1(ii).  $\mathcal{T}$  is a topology on  $X$ ,  $\mathcal{F}$  is a collection of subsets of  $X$ , and  $\mathcal{T}_{\mathcal{F}}$  is the  $H$ -enrichment of  $\mathcal{T}$  with subbasis  $\mathcal{T} \cup \{h(S) : S \in \mathcal{F} \text{ and } h \text{ is a } \langle \mathcal{T}, \mathcal{T} \rangle\text{-homeomorphism}\}$ .

**2.4. Theorem.** *Suppose  $\langle X, \mathcal{T} \rangle$  is a space and the complement of every member of  $\mathcal{F}$  is  $\mathcal{T}$ -nowhere dense. Then every  $\mathcal{T}$ -dense subset of  $X$  is also  $\mathcal{T}_{\mathcal{F}}$ -dense. Thus, if  $\mathcal{T}$  is nondiscrete, so also is  $\mathcal{T}_{\mathcal{F}}$ .*

**Proof.** Let  $D \subseteq X$  be any  $\mathcal{T}$ -dense subset of  $X$ , and let  $T$  be any nonempty  $\mathcal{T}_{\mathcal{F}}$ -open set. Since  $\langle \mathcal{T}, \mathcal{T} \rangle$ -homeomorphic images of  $\mathcal{T}$ -nowhere dense sets are  $\mathcal{T}$ -nowhere dense, and finite unions of  $\mathcal{T}$ -nowhere dense sets are  $\mathcal{T}$ -nowhere dense,  $T$  is of the form  $U \setminus A$  where  $U \in \mathcal{T}$  and  $A$  is  $\mathcal{T}$ -nowhere dense. Since  $T \neq \emptyset$ ,  $T$  must contain a nonempty  $\mathcal{T}$ -open set. Thus  $T \cap D \neq \emptyset$ . If  $\mathcal{T}$  is nondiscrete, there is a  $\mathcal{T}$ -dense set  $D \neq X$ . Thus  $\mathcal{T}_{\mathcal{F}}$  is also nondiscrete.  $\square$

One way to construct "minimal"  $H$ -enrichments of  $\mathcal{T}$  on a set  $X$  is to take  $\mathcal{T}_{\mathcal{F}}$  where  $\mathcal{F}$  consists of just one  $\mathcal{T}$ -nonopen set. Lack of care in the choice of this set can result in the discrete topology.

**2.5. Proposition.** *Let  $A \subseteq \mathbb{R}$  be  $\mathcal{U}$ -closed but not  $\mathcal{U}$ -open. Then  $\mathcal{U}_{\{A\}}$  is discrete.*

**Proof.** Since  $A$  is  $\mathcal{U}$ -closed and not  $\mathcal{U}$ -open,  $A$  is not  $\mathcal{U}$ -dense. Let  $<$  be the natural ordering on the real line, with  $a < b < c$  such that  $A \cap (a, c) = \emptyset$ . Then either  $A \cap (-\infty, b) \neq \emptyset$  or  $A \cap (b, \infty) \neq \emptyset$ . Suppose  $A \cap (-\infty, b) \neq \emptyset$ . Then  $B = A \cap (-\infty, b)$  is  $\mathcal{U}_{\{A\}}$ -open. Also  $B = A \cap (-\infty, b]$ , so  $B$  is  $\mathcal{U}$ -closed. Let  $d$  be the least upper bound

of  $B$ . Then  $d \in B$ . Let  $h: \mathbb{R} \rightarrow \mathbb{R}$  be reflection through  $d$ . Then  $h$  is a  $\langle \mathcal{U}, \mathcal{U} \rangle$ -homeomorphism, hence a  $\mathcal{U}_{\{A\}}$ -homeomorphism, and  $h(B) \in \mathcal{U}_{\{A\}}$ . But then  $\{d\} = B \cap h(B) \in \mathcal{U}_{\{A\}}$ . It is a triviality that an  $H$ -enrichment of a homogeneous topology is also homogeneous. Thus every point of  $\mathbb{R}$  is  $\mathcal{U}_{\{A\}}$ -isolated.  $\square$

The remainder of this paper is concerned with how separation and connectedness axioms tie in with  $H$ -enrichments. We begin with a well-known class of spaces which have all but one point isolated. Let  $X$  be an uncountable set,  $x_0 \in X$ , and  $\kappa$  a cardinal such that  $\omega \leq \kappa < |X|$ . The topology  $\mathcal{T}(x_0, \kappa)$  has as open basis all singleton sets  $\{x\}$  for  $x \in X \setminus \{x_0\}$  and all sets  $U$  containing  $x_0$  such that  $|X \setminus U| \leq \kappa$ . Clearly  $\mathcal{T}(x_0, \kappa)$  is a zero-dimensional Hausdorff topology, hence regular, and  $x_0$  is the only nonisolated point of  $X$ . The following useful fact is trivial to show.

**2.6. Lemma.** *A bijection  $f: X \rightarrow X$  is a  $\mathcal{T}(x_0, \kappa)$ -homeomorphism if and only if  $f(x_0) = x_0$ .*

**2.7. Proposition.** *Let  $X, x_0$ , and  $\kappa$  be as above. The following are equivalent:*

- (a) *There is a cardinal  $\lambda$  with  $\kappa < \lambda < |X|$ ;*
- (b)  *$\mathcal{T}(x_0, \kappa)$  has a proper nondiscrete regular  $H$ -enrichment;*
- (c)  *$\mathcal{T}(x_0, \kappa)$  has a proper nondiscrete  $H$ -enrichment.*

**Proof.** (a) $\Rightarrow$ (b) Let  $\kappa < \lambda < |X|$ . Then  $\mathcal{T}(x_0, \lambda)$  is a proper nondiscrete regular  $H$ -enrichment of  $\mathcal{T}(x_0, \kappa)$ .

(b) $\Rightarrow$ (c) Trivial.

(c) $\Rightarrow$ (a) Suppose  $\mathcal{T}$  is a proper nondiscrete  $H$ -enrichment of  $\mathcal{T}(x_0, \kappa)$ . Let  $U \in \mathcal{T} \setminus \mathcal{T}(x_0, \kappa)$ . Then  $x_0 \in U$  and  $|X \setminus U| > \kappa$ . Let  $\lambda = |X \setminus U|$ . Suppose, by way of contradiction, that  $\lambda = |X|$ , and let  $A \subseteq X \setminus U$  have cardinality  $|U|$ . Let  $f: X \rightarrow X$  be any bijection such that  $f(x_0) = x_0$  and  $f(A) = U \setminus \{x_0\}$ . Then, by Lemma 2.6,  $f$  is a  $\mathcal{T}(x_0, \kappa)$ -homeomorphism, hence a  $\mathcal{T}$ -homeomorphism. Thus  $A \cup \{x_0\} = f^{-1}(U) \in \mathcal{T}$ , so  $\{x_0\} = (A \cup \{x_0\}) \cap U \in \mathcal{T}$ , and  $\mathcal{T}$  is discrete.  $\square$

**2.8. Remark.** Note that the hypothesis of Theorem 2.1 is never satisfied for the spaces  $\langle X, \mathcal{T}(x_0, \kappa) \rangle$ . However, the question of whether  $\langle X, \mathcal{T}(x_0, \kappa) \rangle$  is  $H$ -filled is equivalent to that of whether the cardinal interval  $(\kappa, |X|)$  is empty.

The following result illustrates how attempts to preserve connectedness in passing to  $H$ -enrichments by adding “large” sets can preclude regularity. Recall that a space is *Baire* if countable intersections of dense open sets are dense; a subset of a Baire space is *residual* if it contains such a countable intersection. In Baire spaces, the family of all residual subsets forms a countably complete filter of sets.

**2.9. Theorem.** *Let  $\langle X, \mathcal{T} \rangle$  be a Baire space with  $\mathcal{F}$  a family of  $\mathcal{T}$ -residual subsets. Then:*

- (i) *If  $\mathcal{T}$  is connected, so is  $\mathcal{T}_{\mathcal{F}}$ ;*
- (ii) *If  $\mathcal{T}_{\mathcal{F}}$  is proper, then it is nonregular.*

**Proof.** (i) Let  $\mathcal{C}$  be a  $\mathcal{T}_{\mathcal{F}}$ -open cover of  $X$ , which we may take to be basic; set  $\mathcal{C} = \{U_{\alpha} \cap A_{\alpha} : \alpha < \kappa\}$ , where each  $U_{\alpha}$  is  $\mathcal{T}$ -open and each  $A_{\alpha}$  is  $\mathcal{T}$ -residual. Suppose  $x \in U_{\alpha} \cap A_{\alpha}$ ,  $y \in U_{\beta} \cap A_{\beta}$ .  $\langle X, \mathcal{T} \rangle$  is connected and  $\mathcal{C}' = \{U_{\alpha} : \alpha < \kappa\}$  is a  $\mathcal{T}$ -open cover of  $X$ , so there is a finite "chain"  $U_1, \dots, U_n$  from  $\mathcal{C}'$  such that  $U_1 = U_{\alpha}$ ,  $U_n = U_{\beta}$ , and for  $1 \leq i < n$ ,  $U_i \cap U_{i+1} \neq \emptyset$ . Let  $A_1, \dots, A_n$  be chosen such that  $A_1 = A_{\alpha}$ ,  $A_n = A_{\beta}$ , and each  $U_i \cap A_i$  is in  $\mathcal{C}$ . Then for  $1 \leq i < n$ ,  $(U_i \cap A_i) \cap (U_{i+1} \cap A_{i+1}) = (U_i \cap U_{i+1}) \cap (A_i \cap A_{i+1})$  is clearly nonempty. Thus  $\mathcal{T}_{\mathcal{F}}$  is a connected topology.

(ii) Assume  $\mathcal{T}_{\mathcal{F}} \neq \mathcal{T}$ . Then there is some  $A \in \mathcal{F} \setminus \mathcal{T}$ . Let  $x \in A \cap \text{Cl}_{\mathcal{T}}(X \setminus A)$ . We show  $x$  cannot be separated via  $\mathcal{T}_{\mathcal{F}}$  from the  $\mathcal{T}_{\mathcal{F}}$ -closed set  $X \setminus A$ . For choose any  $\mathcal{T}_{\mathcal{F}}$ -basic open  $U \cap B$  containing  $x$  (where  $U \in \mathcal{T}$  and  $B$  is  $\mathcal{T}$ -residual), and let  $W$  contain  $X \setminus A$  and be  $\mathcal{T}_{\mathcal{F}}$ -open. Let  $y \in U \cap (X \setminus A)$ , with  $\mathcal{T}_{\mathcal{F}}$ -basic open  $V \cap C$  in  $W$  containing  $y$ . Then  $(U \cap B) \cap W \supseteq (U \cap B) \cap (V \cap C) = (U \cap V) \cap (B \cap C) \neq \emptyset$ , since  $y \in U \cap V$  and  $B \cap C$  is  $\mathcal{T}$ -residual.  $\square$

Let  $\mathbb{Q} \subseteq \mathbb{R}$  be the set of rational numbers, with  $\mathcal{U}' = \mathcal{U} \upharpoonright \mathbb{Q}$ , the usual topology on  $\mathbb{R}$  restricted to  $\mathbb{Q}$  (well known to be the order topology on  $\mathbb{Q}$ ). We prove below that  $\mathcal{U}'$  has no proper nondiscrete regular  $H$ -enrichments (hence, because of Corollary 2.2,  $\mathcal{U}'$  has proper nondiscrete  $H$ -enrichments, but they must all be nonregular). We first need two lemmas.

**2.10. Lemma.** *Let  $\mathcal{T}$  be a nondiscrete  $H$ -enrichment of  $\mathcal{U}'$ . If  $T \in \mathcal{T}$  and  $I$  is an open interval in  $\mathbb{R}$  such that  $T \cap I$  is nonempty, then  $(\mathbb{Q} \setminus T) \cap I$  is not  $\mathcal{U}'$ -dense in  $I$ .*

**Proof.** Suppose that  $(\mathbb{Q} \setminus T) \cap I$  is  $\mathcal{U}'$ -dense in  $I$ , with  $x \in T \cap I$ . Write  $(\mathbb{Q} \setminus T) \cap I = A \cup B$ , where  $A$  and  $B$  are disjoint  $\mathcal{U}'$ -dense subsets of  $I$ , and set  $C = A \cup (T \cap I)$ ,  $D = B \cup \{x\}$ . Then  $C$  and  $D$  are countable  $\mathcal{U}'$ -dense subsets of  $I$  having only  $x$  in common,  $C \cup D = \mathbb{Q} \cap I$ . We obtain an increasing bijection (hence a  $\langle \mathcal{U}', \mathcal{U}' \rangle$ -homeomorphism)  $h: \mathbb{Q} \rightarrow \mathbb{Q}$  fixing  $x$  and all elements of  $\mathbb{Q} \setminus I$ , and interchanging  $C$  and  $D$  by use of a simple "back-and-forth" order-theoretic construction.  $h$  is thus a  $\langle \mathcal{T}, \mathcal{T} \rangle$ -homeomorphism, and  $\{x\} = h(T \cap I) \cap (T \cap I) \in \mathcal{T}$ . Since  $\langle \mathbb{Q}, \mathcal{T} \rangle$  is homogeneous,  $\mathcal{T}$  must be discrete. We have a contradiction, therefore  $(\mathbb{Q} \setminus T) \cap I$  cannot be  $\mathcal{U}'$ -dense in  $I$ .  $\square$

**2.11. Lemma.** *Let  $\mathcal{T}$  be a nondiscrete  $H$ -enrichment of  $\mathcal{U}'$ . If  $T \in \mathcal{T}$  and  $I$  is an open interval in  $\mathbb{R}$  such that  $T \cap I$  is  $\mathcal{U}'$ -dense in  $I$ , then  $(\mathbb{Q} \setminus T) \cap I$  is  $\mathcal{U}'$ -nowhere dense in  $I$ .*

**Proof.** Suppose, to the contrary, that there is a nonempty open interval  $J \subseteq \mathbb{R}$  such that  $J \subseteq I$  and  $(\mathbb{Q} \setminus T) \cap J$  is  $\mathcal{U}'$ -dense in  $J$ . By Lemma 2.10,  $T \cap J = \emptyset$ ; so  $T \cap I$  cannot be  $\mathcal{U}'$ -dense in  $I$ .  $\square$

**2.12. Theorem.**  *$\mathcal{U}'$  has no proper nondiscrete regular  $H$ -enrichment.*

**Proof.** Suppose that  $\mathcal{T}$  is a proper nondiscrete regular  $H$ -enrichment of  $\mathcal{U}'$ , and let  $T \in \mathcal{T} \setminus \mathcal{U}'$ . Then there is an  $x \in T$  and a sequence  $(x_n)$  in  $\mathbb{Q} \setminus T$  that  $\mathcal{U}'$ -converges

to  $x$ . We may assume that  $x_1 > x_2 > \dots$ , and we let  $(p_n)$  be a sequence of irrational numbers such that  $x_{n+1} < p_{n+1} < x_n < p_n$  for each  $n$ . Also for each  $n$ , let  $I_n$  be the interval  $(p_{n+1}, p_n)$ . Since  $\mathcal{T}$  is regular, there is an  $S \in \mathcal{T}$  such that  $x \in S \subseteq \bar{S} = \text{Cl}_{\mathcal{T}}(\bar{S}) \subseteq T$ .

Suppose, for the sake of contradiction, that for each  $n$ ,  $S \cap I_n$  is not  $\mathcal{U}'$ -dense in  $I_n$ . Then for each  $n$  there is a nonempty open interval  $J_n \subseteq I_n$  such that  $S \cap J_n = \emptyset$ . Each  $J_n$  may be chosen to be of the form  $(q_{2n}, q_{2n-1})$  where  $(q_k)$  is a decreasing sequence of irrational numbers. Let  $J = (x, r)$ , and for each  $n$ , let  $K_n = (q_{2n+1}, q_{2n})$ . Then there is a  $\langle \mathcal{U}', \mathcal{U}' \rangle$ -homeomorphism  $h$  such that  $h(t) = t$  for each  $t \notin J$  and  $h(K_n) = J_{n+1}$  for each  $n$ . Let  $R = h(S) \cap S \cap (2x - r, r)$ .  $R \in \mathcal{T}$  since  $h$  is a  $\langle \mathcal{T}, \mathcal{T} \rangle$ -homeomorphism. Now let  $f$  be the reflection  $t \mapsto 2x - t$  about  $x$  in  $\mathbb{Q}$ . Then  $f$  is also a  $\langle \mathcal{T}, \mathcal{T} \rangle$ -homeomorphism, and  $\{x\} = f(R) \cap R \in \mathcal{T}$ . This contradicts the assumption  $\mathcal{T}$  is nondiscrete, so in fact there exists some  $n$  such that  $S \cap I_n$  is  $\mathcal{U}'$ -dense in  $I_n$ .

By Lemma 2.11, then,  $(\mathbb{Q} \setminus S) \cap I_n$  is  $\mathcal{U}'$ -nowhere dense in  $I_n$ . But  $(\mathbb{Q} \setminus \bar{S}) \cap I_n$  is also  $\mathcal{U}'$ -nowhere dense in  $I_n$ ; whence  $\bar{S} \cap I_n$  is  $\mathcal{U}'$ -dense in  $I_n$ . Now  $\bar{S} \cap I_n \subseteq T \cap I_n$ , so that  $x_n \in (\mathbb{Q} \setminus \bar{S}) \cap I_n$ . Therefore, by Lemma 2.10,  $\bar{S} \cap I_n$  is not  $\mathcal{U}'$ -dense in  $I_n$ . This contradiction establishes the theorem.  $\square$

We next turn to  $H$ -enrichments of Euclidean topologies (i.e., the topologies  $\mathcal{U}^n, 1 \leq n < \omega$ ). Our next result stands in contrast with Theorem 2.12.

**2.13. Theorem.** *The usual topology  $\mathcal{U}$  on  $\mathbb{R}$  has a proper nondiscrete completely regular  $H$ -enrichment.*

**Proof.** We define a topology  $\mathcal{T} = \mathcal{U}_{\{A, B\}}$ , where  $A$  and  $B$  are complementary  $\mathcal{U}$ -dense subsets of  $\mathbb{R}$ .  $\mathcal{T}$  is *prima facie* a proper  $H$ -enrichment of  $\mathcal{U}$ ; it remains to define the sets  $A, B$  judiciously so that  $\mathcal{T}$  is nondiscrete and completely regular.

Let  $\mathcal{H}$  be the  $\langle \mathcal{U}, \mathcal{U} \rangle$ -homeomorphisms, with  $\mathcal{H}^*$  the set of finite subsets of  $\mathcal{H}$ . Both  $\mathcal{H}$  and  $\mathcal{H}^*$  have continuum cardinality  $c$ , and we let  $\varphi: c \rightarrow \mathcal{U} \setminus \{\emptyset\}$ ,  $\psi: c \rightarrow \mathcal{H}^*$  be bijections.

Define  $\{A_\alpha: \alpha < c\}$  and  $\{B_\alpha: \alpha < c\}$  by induction on  $c$  as follows. First choose distinct points  $a, b$  from  $\varphi(0)$  and define  $A_0 = \{a\}$ ,  $B_0 = \{b\}$ . Next suppose  $0 < \alpha < c$ , and that  $\{A_\beta: \beta < \alpha\}$  and  $\{B_\beta: \beta < \alpha\}$  have been defined. Then define  $A_\alpha$  and  $B_\alpha$  as follows.

First define  $\{C_\beta: \beta < \alpha\}$  and  $\{D_\beta: \beta < \alpha\}$  by induction. Let  $\beta < \alpha$ . If  $\beta = 0$ , then take  $C_\beta = \bigcup \{A_\gamma: \gamma < \alpha\}$  and  $D_\beta = \bigcup \{B_\gamma: \gamma < \alpha\}$ . If  $\beta > 0$ , then, assuming that  $\{C_\gamma: \gamma < \beta\}$  and  $\{D_\gamma: \gamma < \beta\}$  have been defined, let  $C = \bigcup \{C_\gamma: \gamma < \beta\}$  and  $D = \bigcup \{D_\gamma: \gamma < \beta\}$ . Define  $C_\beta$  and  $D_\beta$  as follows.

Now  $\psi(\beta) = \{h_i: i < n\}$  for some  $n < \omega$ . If there are  $i, j < n$  with  $i \neq j$  and  $\varphi(\alpha) \cap \{x \in \mathbb{R}: h_i^{-1}(x) = h_j^{-1}(x)\}$  is  $\mathcal{U}$ -somewhere dense, then take  $D_\beta = D$  and  $C_\beta = C$ . Otherwise, let  $\xi: 2^n \rightarrow \varphi(\alpha) \setminus \bigcup \{h_i(C \cup D): i < n\}$  be an injection such that for each  $t \in 2^n$ ,  $\{h_i^{-1}(\xi(t)): i < n\}$  consists of  $n$  distinct points. Then define  $C_\beta = C \cup \{h_i^{-1}(\xi(t)): i < n, t \in 2^n, t(i) = 0\}$  and  $D_\beta = D \cup \{h_i^{-1}(\xi(t)): i < n, t \in 2^n, t(i) = 1\}$ .

This completes the inner induction and defines  $\{C_\beta: \beta < \alpha\}$  and  $\{D_\beta: \beta < \alpha\}$ . Now define  $A_\alpha = \bigcup \{C_\beta: \beta < \alpha\}$  and  $B_\alpha = \bigcup \{D_\beta: \beta < \alpha\}$ . This completes the outer induction and defines  $\{A_\alpha: \alpha < c\}$  and  $\{B_\alpha: \alpha < c\}$ . Finally, define  $A = \bigcup \{A_\alpha: \alpha < c\}$  and  $B = \mathbb{R} \setminus A$ .

Now define  $\mathcal{F}$  to be  $\mathcal{U}_{\{A, B\}}$ . The fact that  $\mathcal{F}$  is nondiscrete follows from the lemma below.

**Lemma.** *Let  $X = h_1(A) \cap \cdots \cap h_m(A) \cap h_{m+1}(B) \cap \cdots \cap h_n(B)$ , where  $h_1, \dots, h_n \in \mathcal{H}$ . If  $x \in X$ , then there exists a  $\mathcal{U}$ -open neighborhood  $U$  of  $x$  such that  $U \cap X$  is  $\mathcal{U}$ -dense in  $U$ .*

**Proof.** Define  $U = \mathbb{R} \setminus \bigcup \{ \{y \in \mathbb{R}: h_i^{-1}(y) = h_j^{-1}(y)\}: 1 \leq i \leq m, m+1 \leq j \leq n \}$ , which is a  $\mathcal{U}$ -open neighborhood of  $x$ . To show that  $U \cap X$  is  $\mathcal{U}$ -dense in  $U$ , let  $V$  be a nonempty  $\mathcal{U}$ -open subset of  $U$ .

If  $m > 1$ , let  $\{p_1, \dots, p_s\}$  be an ordering of  $\{\langle i, j \rangle: 1 \leq i \leq m, 1 \leq j \leq m, i \neq j\}$ ; and for each  $p_k = \langle i, j \rangle$  let  $C_k = \{y \in \mathbb{R}: h_i^{-1}(y) = h_j^{-1}(y)\}$ . Likewise if  $n > m+1$ , let  $\{q_1, \dots, q_t\}$  be an ordering of  $\{\langle i, j \rangle: m+1 \leq i \leq n, m+1 \leq j \leq n, i \neq j\}$ ; and for each  $q_k = \langle i, j \rangle$ , let  $D_k = \{y \in \mathbb{R}: h_i^{-1}(y) = h_j^{-1}(y)\}$ .

Define  $V'$  as follows. If  $m = 1$ , then take  $V' = V$ . If  $m > 1$ , then since  $C_1, \dots, C_s$  are  $\mathcal{U}$ -closed subsets of  $\mathbb{R}$ , there exists a nonempty  $\mathcal{U}$ -open subset  $V'$  of  $V$  such that for each  $1 \leq k \leq s$ , either  $V' \subseteq C_k$  or  $V' \cap C_k = \emptyset$ .

Likewise define  $W$  as follows. If  $n = m+1$ , then take  $W = V'$ . If  $n > m+1$ , then choose  $W$  to be a nonempty  $\mathcal{U}$ -open subset of  $V'$  such that for each  $1 \leq k \leq t$ , either  $W \subseteq D_k$  or  $W \cap D_k = \emptyset$ .

Now take  $H$  to be a subset of  $\mathcal{H}$  having the following properties.

- (1) If  $m = 1$ , then  $h_1 \in H$ .
- (2) If  $n = m+1$ , then  $h_n \in H$ .
- (3) If  $m > 1$  and  $W \subseteq C_k$ , where  $p_k = \langle i, j \rangle$ , then  $H$  contains exactly one of  $h_i$  or  $h_j$ .
- (4) If  $m > 1$  and  $W \cap C_k = \emptyset$ , where  $p_k = \langle i, j \rangle$ , then  $H$  contains both  $h_i$  and  $h_j$ .
- (5) If  $n > m+1$  and  $W \subseteq D_k$ , where  $q_k = \langle i, j \rangle$ , then  $H$  contains exactly one of  $h_i$  or  $h_j$ .
- (6) If  $n > m+1$  and  $W \cap D_k = \emptyset$ , where  $q_k = \langle i, j \rangle$ , then  $H$  contains both  $h_i$  and  $h_j$ .
- (7)  $H$  contains no other members of  $\mathcal{H}$  besides those listed in properties (1)-(6).

To be specific, let  $H = \{h'_1, \dots, h'_a, h'_{a+1}, \dots, h'_b\}$ , where for  $1 \leq i \leq a$ ,  $h'_i \in \{h_1, \dots, h_m\}$ , and for  $a+1 \leq j \leq b$ ,  $h'_j \in \{h_{m+1}, \dots, h_n\}$ . Now  $H = \psi(\beta)$  for some  $\beta < c$ . Choose some  $\alpha > \beta$  such that  $\varphi(\alpha) \subseteq W$ . It follows from the construction of  $A$  and  $B$  that  $\varphi(\alpha) \cap h'_1(A) \cap \cdots \cap h'_a(A) \cap h'_{a+1}(B) \cap \cdots \cap h'_b(B) \neq \emptyset$ . Since the only  $h'_j$  which are not in  $H$  come from the pairs of the form  $p_k = \langle i, j \rangle$  where  $W \subseteq C_k$  or  $W \subseteq D_k$ , we have  $\varphi(\alpha) \cap X \neq \emptyset$  as desired. This establishes the Lemma.

To show that  $\mathcal{F}$  is completely regular, let  $x \in U \cap X$ , where  $U \in \mathcal{U}$  and  $X = h_1(A) \cap \cdots \cap h_m(A) \cap h_{m+1}(B) \cap \cdots \cap h_n(B)$ . Now  $X$  is both open and closed in  $\langle \mathbb{R}, \mathcal{F} \rangle$ . Choose  $f: \langle \mathbb{R}, \mathcal{U} \rangle \rightarrow \langle \mathbb{R}, \mathcal{U} \rangle$  to be continuous so that  $f(x) = 0$  and  $f(\mathbb{R} \setminus U) = \{1\}$ . Now define  $g: \langle \mathbb{R}, \mathcal{F} \rangle \rightarrow \langle \mathbb{R}, \mathcal{U} \rangle$  by  $g(y) = f(y)$  if  $y \in X$  and  $g(y) = 1$  if  $y \in \mathbb{R} \setminus X$ . Clearly

$g(x) = 0$  and  $g(\mathbb{R} \setminus (U \cap X)) = \{1\}$ . To see that  $g$  is continuous, let  $V \in \mathcal{U}$ . If  $1 \notin V$ , then  $g^{-1}(V) = f^{-1}(V) \cap X \in \mathcal{F}$ . If  $1 \in V$ , then  $g^{-1}(V) = \mathbb{R} \setminus g^{-1}(\mathbb{R} \setminus V) = \mathbb{R} \setminus (f^{-1}(\mathbb{R} \setminus V) \cap X) \in \mathcal{F}$ .  $\square$

**2.14. Question.** Is the topology constructed in Theorem 2.13 normal? If not, is there a proper nondiscrete normal  $H$ -enrichment of  $\mathcal{U}$ ? (Nb.: The Sorgenfrey (or half-open interval) topology on  $\mathbb{R}$  is well known to be a normal enrichment of  $\mathcal{U}$ . It is not an  $H$ -enrichment, however, since  $-\text{id}_{\mathbb{R}}$  is not a Sorgenfrey homeomorphism.)

The topology constructed in Theorem 2.13 is totally disconnected. This suggests the following.

**2.15. Question.** Is there a proper regular connected  $H$ -enrichment of  $\mathcal{U}$  on  $\mathbb{R}$ ?

**2.16. Theorem.** *Let  $\mathcal{F}$  be an  $H$ -enrichment of  $\mathcal{U}$ . Then either  $\mathcal{F}$  is totally disconnected or the  $\mathcal{F}$ -connected subsets of  $\mathbb{R}$  are precisely the intervals. In the latter case, the  $\langle \mathcal{F}, \mathcal{F} \rangle$ -homeomorphisms coincide with the  $\langle \mathcal{U}, \mathcal{U} \rangle$ -homeomorphisms (i.e., the monotonic bijections).*

**Proof.** Clearly if  $A \subseteq \mathbb{R}$  is not an interval then  $A$  is  $\mathcal{U}$ -disconnected, hence  $\mathcal{F}$ -disconnected. Thus the only  $\mathcal{F}$ -connected subsets lie among the intervals of  $\mathbb{R}$ . Suppose  $C \subseteq \mathbb{R}$  is a nontrivial  $\mathcal{F}$ -connected set. We specify the *type* of the interval  $C$  in the usual way: if bounded, how many included endpoints; if unbounded, whether it is a ray and, if so, whether it includes its endpoint. Clearly, given any two intervals of the same type, there is a  $\langle \mathcal{U}, \mathcal{U} \rangle$ -homeomorphism taking the first to the second. Thus every interval of the same type as  $C$  is  $\mathcal{F}$ -connected. Since  $\mathbb{R}$  is a chain union of intervals of the same type as  $C$ , we see that  $\mathcal{F}$  is a connected topology. Now let  $[a, b]$  be any closed bounded interval. If  $\{U, V\}$  is a  $\mathcal{F}$ -disconnection of  $[a, b]$ , then  $U$  and  $V$  are both  $\mathcal{F}$ -closed sets. Suppose  $a \in U$  and  $b \in V$ . Then  $\{(-\infty, a] \cup U, V \cup [b, \infty)\}$  is a  $\mathcal{F}$ -disconnection of  $\mathbb{R}$ , a contradiction. (If both  $a$  and  $b$  are in  $U$ , say, then we use  $\{(-\infty, a] \cup [b, \infty) \cup U, V\}$ .) Thus  $[a, b]$  is  $\mathcal{F}$ -connected. Since every interval is a chain union of closed bounded intervals, we have our result. The classic intermediate value theorem then tells us that the  $\langle \mathcal{F}, \mathcal{F} \rangle$ -homeomorphisms and the  $\langle \mathcal{U}, \mathcal{U} \rangle$ -homeomorphisms are precisely the monotonic bijections.  $\square$

**2.17. Corollary.** *There is no proper nondiscrete locally connected  $H$ -enrichment of  $\mathcal{U}$ .*

**Proof.** If  $\mathcal{F}$  is a proper locally connected  $H$ -enrichment of  $\mathcal{U}$  with a basis of connected open sets, then this basis must consist of intervals by Theorem 2.16. Since  $\mathcal{F}$  is proper, some of these intervals must have endpoints. Reflection about such an endpoint gives rise to two  $\mathcal{F}$ -open sets with a single point in common. By homogeneity,  $\mathcal{F}$  must be discrete.  $\square$

We do not see how to extend Theorem 2.16 and Corollary 2.17 to higher powers of  $\mathcal{U}$ . The following lemma allows us to lift certain other arguments about  $H$ -enrichments of  $\mathcal{U}$  to higher finite dimensions.

**2.18. Lemma.** *Let  $(x_m)$  and  $(y_m)$  be two sequences in  $\mathbb{R}^n$ ,  $n \geq 2$ , that  $\mathcal{U}^n$ -converge to  $x$  and  $y$  respectively. Suppose further that the distances  $\|x_m - x\|$  and  $\|y_m - y\|$  are strictly decreasing with increasing  $m$ . Then there is a  $\langle \mathcal{U}^n, \mathcal{U}^n \rangle$ -homeomorphism taking  $x_m$  to  $y_m$  for each  $m$ .*

**Proof.** This assertion is false for  $n = 1$  without a monotonicity assumption. We sketch a proof to show that if  $(x_m)$  is a sequence satisfying the hypothesis of the lemma, then  $(x_m)$  can be moved to a monotonic sequence  $(x'_m)$  on the (positive) first axis. We can then homeomorph one monotonic sequence to another on that axis and extend to a homeomorphism on all of  $\mathbb{R}^n$  by crossing with the identity map on the orthogonal complement of the axis.

Without loss of generality, we may assume the sequence  $x_1, x_2, \dots$  converges to 0 in  $\mathbb{R}^n$ , with  $\|x_1\| > \|x_2\| > \dots$ . For  $m = 1, 2, \dots$ , let  $S_m$  be the  $(n-1)$ -sphere of radius  $\|x_m\|$  centered at 0, with  $A_1 = \{x: \|x\| \geq \|x_1\|\}$  and  $A_m = \{x: \|x_m\| \leq \|x\| \leq \|x_{m-1}\|\}$ ,  $m > 1$ . For each  $m$ , let  $x'_m$  be the point of intersection of  $S_m$  with the positive first axis, and let  $r_m: S_m \rightarrow S_m$  be a rotation of  $S_m$  which takes  $x_m$  to  $x'_m$ . One extends  $r_1$  to a rotation  $h_1$  on  $A_1$  in the obvious way. Also in a straightforward manner one extends the rotations  $r_m$  and  $r_{m+1}$  to a homeomorphism  $h_{m+1}$  on  $A_{m+1}$  in such a way that  $\|h_{m+1}(x)\| = \|x\|$  for all  $x \in A_{m+1}$ . (R. Mullins has written a proof in which each  $h_m$  is a linear map on  $\mathbb{R}^n$  restricted to  $A_m$ .) We then define  $h: \mathbb{R}^n \rightarrow \mathbb{R}^n$  as the obvious extension of the maps  $h_m$ ,  $h(0) = 0$ ; and it is a triviality to show  $h$  is a suitable homeomorphism.  $\square$

**2.19. Theorem.** *Let  $\mathcal{T}$  be a proper  $H$ -enrichment of  $\mathcal{U}^n$ ,  $1 \leq n < \omega$ . Then every  $\mathcal{T}$ -convergent sequence in  $\mathbb{R}^n$  is eventually constant.*

**Proof.** First assume  $n = 1$ , and suppose  $(x_m)$  is a sequence of distinct terms that  $\mathcal{T}$ -converges to  $x$ . Then there is a monotonic subsequence  $(x_{m_k})$  also  $\mathcal{T}$ -converging to  $x$ . Assume  $\mathcal{T} \neq \mathcal{U}$ . By homogeneity, the open intervals about  $x$  do not form a  $\mathcal{T}$ -neighborhood basis at  $x$ , so let  $T \in \mathcal{T} \setminus \mathcal{U}$  be such that  $x \in T$  and for  $l = 1, 2, \dots$  there is some  $y_l \in (x - 1/l, x + 1/l) \setminus T$ . The sequence  $(y_l)$  is not eventually constant, so there is a monotonic subsequence  $(y_{l_k})$ . This subsequence  $\mathcal{U}$ -converges, thus there is a monotonic bijection  $f$  on  $\mathbb{R}$  taking  $x_{m_k}$  to  $y_{l_k}$ ,  $k = 1, 2, \dots$ . However,  $(y_{l_k})$  does not  $\mathcal{T}$ -converge. Because  $f$  is a  $\langle \mathcal{T}, \mathcal{T} \rangle$ -homeomorphism,  $(x_{m_k})$  cannot  $\mathcal{T}$ -converge either, a contradiction. Thus our original  $\mathcal{T}$ -convergent sequence must be eventually constant.

Now assume  $n \geq 2$ . We argue as above using Lemma 2.18. The only change we make is in the subsequences  $(x_{m_k})$  and  $(y_{l_k})$ : instead of monotonicity, we may assume monotonically decreasing distances to the points of  $\mathcal{U}^n$ -convergence.  $\square$



**2.20. Corollary.** *Let  $1 \leq n < \omega$ , with  $\mathcal{T}$  a proper H-enrichment of  $\mathcal{U}^n$ . Then:*

- (i)  $\mathbb{R}^n$  has no infinite  $\mathcal{T}$ -compact subsets (i.e.,  $\langle \mathbb{R}^n, \mathcal{T} \rangle$  is “antcompact”, or a “cf-space”).
- (ii) If  $\mathcal{T}$  is nondiscrete, no point of  $\mathbb{R}^n$  has a countable  $\mathcal{T}$ -neighborhood basis.
- (iii) If  $\mathcal{T}$  is nondiscrete,  $\langle \mathbb{R}^n, \mathcal{T} \rangle$  is not locally compact.
- (iv) Every metrizable subset of  $\langle \mathbb{R}^n, \mathcal{T} \rangle$  is discrete in the subspace topology.

**Proof.** (i) Let  $C \subseteq \mathbb{R}^n$ . If  $C$  is  $\mathcal{T}$ -compact, then  $C$  is  $\mathcal{U}^n$ -compact. Since  $\mathcal{T} \supseteq \mathcal{U}^n$ , and both topologies, when restricted to  $C$ , are compact Hausdorff, they must agree on  $C$ . But infinite compact subsets of  $\langle \mathbb{R}^n, \mathcal{U}^n \rangle$  contain convergent sequences that are not eventually constant. This contradicts Theorem 2.19, so  $C$  must be finite.

(ii) If  $\mathcal{T}$  is nondiscrete, then no point of  $\mathbb{R}^n$  is isolated. A countable  $\mathcal{T}$ -neighborhood basis at  $x$  would give rise to a sequence of distinct terms  $\mathcal{T}$ -converging to  $x$ , contrary to Theorem 2.19.

(iii) This is an immediate consequence of (i) above.

(iv) This follows immediately from Theorem 2.19.  $\square$

**2.21. Theorem.** *Let  $\mathcal{T}$  be a nondiscrete H-enrichment of  $\mathcal{U}^n$ ,  $1 \leq n < \omega$ . Then every nonempty  $\mathcal{T}$ -open set has cardinality continuum.*

**Proof.** Fix  $n > 0$ , suppose  $T \in \mathcal{T}$  is nonempty and of cardinality  $< c$ . Without loss of generality, we may assume  $0 \in T$ . For each nonsingular  $n \times n$  matrix  $H$  over  $\mathbb{R}$ , let  $\Gamma_H = \{ \langle v, H_v \rangle : v \in \mathbb{R}^n \}$ . Then  $\Gamma_H$  is an  $n$ -dimensional vector subspace of  $\mathbb{R}^{2n}$ . Now one can find  $c$  such matrices  $H$  such that any  $n+1$  subspaces  $\Gamma_H$  have trivial intersection. Since  $|T \times T| < c$ , there is some such  $H$  with  $\Gamma_H \cap (T \times T) = \{ \langle 0, 0 \rangle \}$ . Let  $h$  be the  $\langle \mathcal{T}, \mathcal{T} \rangle$ -homeomorphism whose graph is  $\Gamma_H$ . Then  $h(T) \cap T = \{0\}$ . Since  $h(T) \in \mathcal{T}$ , we have  $\{0\} \in \mathcal{T}$ ; hence  $\mathcal{T}$  is discrete.  $\square$

Our last topic concerns whether certain well-known homogeneous enrichments of  $\mathcal{U}^\omega$  are H-enrichments. The following lemma is taken from [3].

**2.22. Lemma** [3, Proposition 2.5(ii)]. *If  $\mathcal{T}$  is an H-enrichment of  $\mathcal{U}^\kappa$ , then all straight lines in  $\mathbb{R}^\kappa$  (viewed as an affine space) are equivalent via  $\langle \mathcal{T}, \mathcal{T} \rangle$ -homeomorphisms on  $\mathbb{R}^\kappa$ .*

**2.23. Theorem.** *The following homogeneous enrichments of  $\mathcal{U}^\omega$  are not H-enrichments:*

- (i) the box topology;
- (ii) the uniform topology; and
- (iii)  $\mathcal{D}^\omega$ , the  $\omega$ -fold power of the discrete topology.

**Proof.** (i) In this topology, each axis in  $\mathbb{R}^\omega$  inherits the usual topology. However, the diagonal in  $\mathbb{R}^\omega$  inherits the discrete topology. By Lemma 2.22, the box topology cannot be an H-enrichment of  $\mathcal{U}^\omega$ .

(ii) If  $\bar{\rho}(x, y) = \min\{|x - y|, 1\}$  denotes the truncated usual metric on  $\mathbb{R}$ , then  $\bar{\rho}^\omega(s, t) = \sup_n \bar{\rho}(s(n), t(n))$  gives a metric for the uniform topology on  $\mathbb{R}^\omega$ . Two points  $s, t \in \mathbb{R}^\omega$  lie in the same (connectedness) component of  $\mathbb{R}^\omega$  relative to the uniform topology if and only if the sequence  $(s(n) - t(n))$  is bounded in  $\mathbb{R}$ . Thus, if  $s$  and  $t$  lie in different components, then the line connecting  $s$  and  $t$  inherits a disconnected topology. But, as in (i) above, each axis in  $\mathbb{R}^\omega$  inherits the usual topology. Again we resort to Lemma 2.22.

(iii) As for  $\mathcal{D}^\omega$ , all straight lines in  $\mathbb{R}^\omega$  inherit the discrete topology, so Lemma 2.22 is useless here. Let  $h: \mathbb{R}^\omega \rightarrow \mathbb{R}^\omega$  be defined by its coordinate functions:

$$(\pi_k \circ h)(s) = \begin{cases} s(0) + \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{|s(n)|}{1 + |s(n)|} & \text{if } k=0, \\ s(k) & \text{if } k > 0. \end{cases}$$

Clearly  $h$  is a bijection; its inverse is given by:

$$(\pi_k \circ h^{-1})(s) = \begin{cases} s(0) - \sum_{n=1}^{\infty} \frac{1}{2^n} \frac{|s(n)|}{1 + |s(n)|} & \text{if } k=0, \\ s(k) & \text{if } k > 0. \end{cases}$$

The functions  $h$  and  $h^{-1}$  are  $\langle \mathcal{U}^\omega, \mathcal{U}^\omega \rangle$ -continuous since their coordinate functions are continuous onto  $\langle \mathbb{R}, \mathcal{U} \rangle$ . Thus, assuming  $\mathcal{D}^\omega$  is an  $H$ -enrichment of  $\mathcal{U}^\omega$ , we infer that  $h$  is a  $\langle \mathcal{D}^\omega, \mathcal{D}^\omega \rangle$ -homeomorphism; hence that  $f = \pi_0 \circ h: \mathbb{R}^\omega \rightarrow \mathbb{R}$  is  $\langle \mathcal{D}^\omega, \mathcal{D} \rangle$ -continuous. However,  $f$  takes the zero sequence to 0, and  $\{0\}$  is a  $\mathcal{D}$ -open set. If  $U$  is a typical  $\mathcal{D}^\omega$ -basic open neighborhood of 0, then  $U$  is of the form  $\prod_{n=0}^{\infty} U_n$ , where  $U_n = \{0\}$  for finitely many indices  $n$ , and  $U_n = \mathbb{R}$  for the remaining indices. Thus  $f(U) \not\subseteq \{0\}$ , and  $f$  is not  $\langle \mathcal{D}^\omega, \mathcal{D} \rangle$ -continuous at 0, a contradiction.  $\square$

**2.24. Corollary.** *The relation of  $H$ -enrichment between topologies is not preserved under the taking of countable Tichonov powers.*

**Proof.**  $\mathcal{D}$  is an  $H$ -enrichment of  $\mathcal{U}$ , but  $\mathcal{D}^\omega$  is not an  $H$ -enrichment of  $\mathcal{U}^\omega$  by Theorem 2.23(iii).  $\square$

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