

Counting independent sets in graphs with a given minimal degree

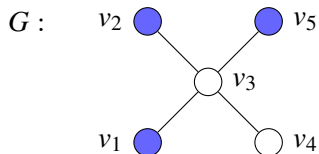
John Engbers* David Galvin

University of Notre Dame
Department of Mathematics

April 2012

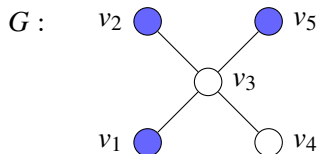
An extremal question

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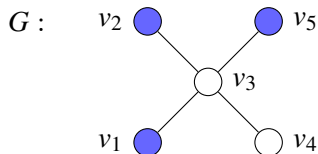


$i(G)$: Total number of independent sets in a graph G .

$i_t(G)$: Number of independent sets with **size t** in G ($t \in \{0, 1, \dots, n\}$).

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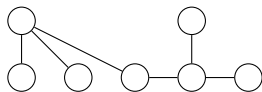
$i_t(G)$: Number of independent sets with **size** t in G ($t \in \{0, 1, \dots, n\}$).

Question

Given a family of graphs \mathcal{G} , what is the **maximum** value of $i(G)$ and $i_t(G)$ as G ranges over \mathcal{G} ?

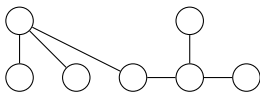
Fixed order, trees

$\mathcal{G}(n)$: trees on n vertices



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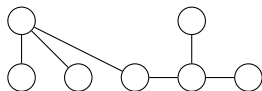
Theorem (Prodinger, Tichy 1982)

For $G \in \mathcal{G}(n)$,

- $i(G)$ maximized by the star $K_{1,n-1}$.

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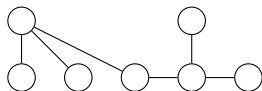
Theorem (Wingard 1995)

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Foreshadowing: G a tree $\implies \delta(G) = 1$

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$\mathcal{G}(n, m)$: graphs with n vertices, m edges

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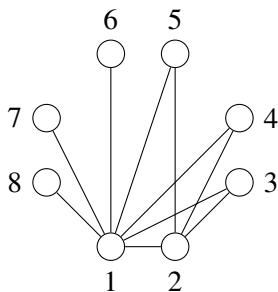
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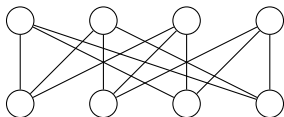
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$\text{Lex}(8, 11)$

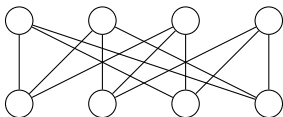
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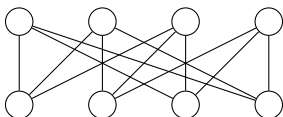
Theorem (Kahn 2001; Zhao 2011)

For $G \in \mathcal{G}(n, d)$,

- $i(G)$ maximized by $\frac{n}{2d} K_{d,d}$, disjoint union of $\frac{n}{2d}$ copies of $K_{d,d}$.

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Conjecture (Kahn 2001)

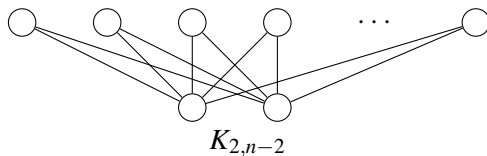
For $G \in \mathcal{G}(n, d)$,

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- Asymptotic evidence for conjecture given by Carroll, G., Tatali (2009)

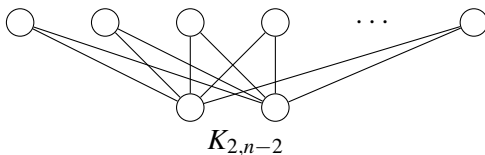
Fixed order, connected, no cut-edges

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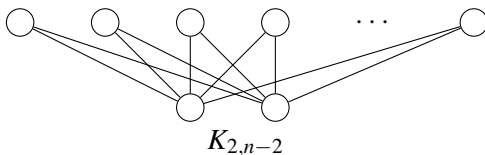
Theorem (Hua 2009)

For $G \in \mathcal{G}(n)$,

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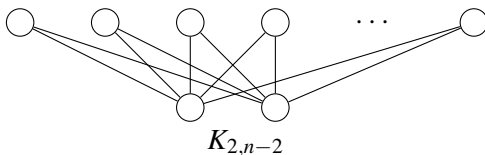
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Note: no cut-edges $\implies \delta(G) \geq 2$.

Today's family: fixed order, fixed minimum degree

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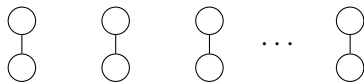
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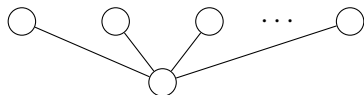
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Wrong! even for $\delta = 1$



$$i(nK_2) = 3^{n/2}$$



$$i(K_{1, n-1}) = 2^{n-1} + 1$$

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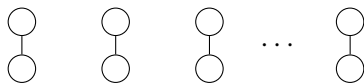
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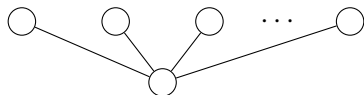
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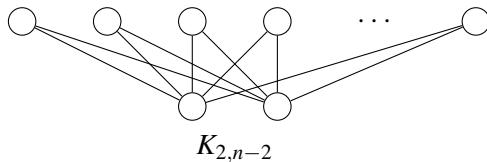
New intuition: Maximize $\alpha(G)$

Fixed order, fixed minimum degree

Theorem (G. 2011)

For $n \geq 4\delta^2$ and $G \in \mathcal{G}(n, \delta)$,

- $i(G)$ uniquely maximized by $K_{\delta, n-\delta}$.

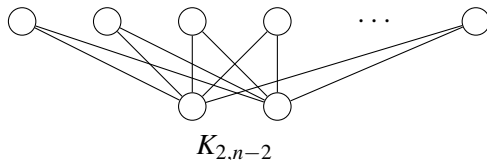


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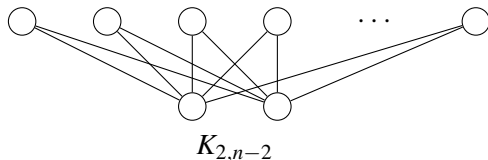
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- for $n < 2\delta$, $i(G)$ uniquely maximized by $K_{n-\delta, n-\delta, \dots, n-\delta, x}$ where $x < n - \delta$.

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$i_2(G) = \binom{n}{2} - |E(G)| \implies$ a regular G (not $K_{\delta, n-\delta}$!) is maximizer

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Theorem (E., G. 2012+)

Conjecture true for

- $\delta = 1, 2, 3$
- $\delta \geq 4$ and $t \geq 2\delta + 1$

Proof for $t \geq 2\delta + 1$

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$$i_t(G) \leq i_t(K_{\delta, n-\delta}) = \binom{n-\delta}{t}.$$

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$$\begin{aligned} i_t(G) &= i_t(G - v) + i_{t-1}(G - v - N(v)) \\ &\leq \binom{(n-1) - \delta}{t} [\text{induction}] + \binom{n - (\delta + 1)}{t-1} [\text{trivial bound}] \end{aligned}$$

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Ordered independent t -sets starting with vertex of degree $> \delta$:

$$\#_{>\delta} \leq k(n - (\delta + 2))(n - (\delta + 3)) \cdots (n - (\delta + t))$$

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Missing term?

- Worst case situation: each new choice shares same δ neighbors

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- $(\delta + 1)$ st choice (at worst) removes a new vertex

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Worst case is $k = 0$:

$$\begin{aligned} i_t(G) &\leq \frac{1}{t!} n(n - (\delta + 1))(n - (\delta + 2)) \cdots \\ &\quad (n - \widehat{(2\delta + 1)})(n - (2\delta + 2)) \cdots (n - (\delta + t)) \\ &\leq \frac{1}{t!} (n - \delta)(n - (\delta + 1)) \cdots \\ &\quad (n - (2\delta + 1)) \cdots (n - (\delta + (t - 1))) \text{ [uses } t = 2\delta + 1 \text{]} \\ &= \binom{n - \delta}{t}. \end{aligned}$$

Final remarks

Future improvements?

- Consider second/third/etc. choices more carefully
- Condition on the degrees of neighbors [linear programming]

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Fix $n < 2\delta$ and $t \geq 3$. Is $i_t(G)$ maximized by $K_{n-\delta, n-\delta, \dots, n-\delta, x}$, where $G \in \mathcal{G}(n, \delta)$ and $x < n - \delta$?

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